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Characteristics of sulfated and carboxylated cellulose nanocrystals extracted from *Juncus* plant stems



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24 ABSTRACT

In this study, sulfated and carboxylated cellulose nanocrystals (CNC) have been produced from newly identified cellulose-rich bio-sourced material, namely *Juncus* plant. The *Juncus* plant stems were firstly subjected to chemical treatments to produce purified cellulose microfibrils (CMF) with an average diameter of 3.5 μm and yield of 36%. By subjecting CMF to sulfuric and citric/hydrochloric mixture acids hydrolysis, sulfated CNC (S-CNC) and carboxylated CNC (C-CNC) have been produced with a diameter of 7.3 \pm 2.2 and 6.1 \pm 2.8 nm, and a length of 431 \pm 94 and 352 \pm 79 nm, respectively. These newly extracted S-CNC and C-CNC exhibited a crystallinity of 81% and 83% with cellulose I structure and showed high thermal stability (>200 °C). Herein, this newly identified *Juncus* plant, which is a naturally-derived source, could be used as a valuable alternative to conventional sources such as wood and cotton for nanocellulose production. We speculate that the determined high thermal stability, the large aspect ratio and high crystallinity will allow the use of the extracted CNC as nano-reinforcing agents in polymers that require processing temperatures of up to 200 °C. Owing to their surface functionalities (sulfated or carboxylated surface groups), the here produced CNC could be used as nano-additives or nano-reinforcing agents for water-soluble bio-polymers in order to produce bio-nanocomposites by solvent casting techniques.

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1. Introduction

The identification of new renewable sources for the production of biodegradable naturally-derived nanomaterials has steadily increased in recent years [1,2]. There are various unexplored valuable cellulose-rich materials found in nature and not yet valorized for the production of cellulose derivatives such as cellulose microfibrils (CMF), cellulose nanofibrils (CNF) and cellulose nanocrystals (CNC). These non-conventional sources could be used as an important alternative to conventional sources such as wood and cotton [3]. In this context, various natural fibers, agricultural by-products, and marine biomass have been recently identified as renewable sources for cellulose derivatives production [3–14]. Among such underutilized renewable sources, *Juncus* plant (*Juncus effusus* L, rush or smmar in Arabic) is one of the naturally-derived

materials that is rich in cellulose product and widely abundant in nature, especially in African countries [15,16]. It is a widespread plant belonging to *Juncaceae* family with about 200 species, growing in different environmental conditions, especially in wet places (lakes, rivers, ponds, mountain, etc.). The *Juncus* plant is in the form of a tuft of grass consisting of hollow cylindrical rods of about 1 m in height and 4–8 mm in diameter containing a white spongy material. Generally, these plants are harvested and processed into woven textiles such as baskets and fiber mat [17]. In addition, researchers previously revealed that fibers from *Juncus* plant have a good potential as polymer reinforcing fillers [15–17]. Importantly, the high content of cellulose in *Juncus* plant (40 wt%) [16], make it a very interesting bio-sourced raw material for the production of cellulose derivatives such as CMF and CNC.

To produce pure cellulose fibers from cellulose-rich renewable sources, the researchers used chemical methods such as alkalization and bleaching treatments [1,2,18]. The role of two treatments above is the removal of non-cellulosic components presented in

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the raw matter, namely lignin, hemicellulose and other extractive substances, leading in the production of purified cellulose fibers [1,2]. Once pure cellulose is extracted from lignocellulosic materials, other derivatives could be obtained, through etherification, esterification, oxidation and nitration reactions, such as hydroxyethyl cellulose, ethyl cellulose, hydroxypropyl cellulose, cellulose acetate, cellulose nitrate, carboxymethyl cellulose (CMC) and others [19–21]. On the other hand, by subjecting purified cellulose fibers to acid hydrolysis or mechanical treatment (ultrasonic cell crusher, high shear homogenizer and centrifugation), nanocelluloses could be produced such as CNC and CNF [4,22]. The unique characteristics of nanocelluloses make them potential natural nanomaterials for many applications [3,23,24].

The use of acid hydrolysis process to breakdown the purified cellulose fibers is the most effective method, where the cellulose fibers are subjected to concentrated acid to hydrolyze the amorphous domains of the cellulose chains and leave the crystalline domains unaltered, named CNC [23]. Various acids have been used for this purpose, resulting in CNC with different structural, morphological and thermal properties and controlled surface functionality [25,26]. In this context, the sulfuric acid has been extensively used for CNC extraction; however, hydrochloric, phosphoric and hydrobromic acids have also been reported for such purpose [26–28]. The sulfuric acid hydrolysis is a simple process and it requires shorter reaction time than other processes [29]. Additionally, this process produces CNC with inserted anionic sulfate groups on its surface, high crystallinity and good colloidal stability in water [27]. Recently, the use of a mixture of citric and hydrochloric acids for isolation of CNC with carboxylated surface functionality was reported [25]. Generally, the physico-chemical properties of cellulose and its derivatives such as CNC and MCC (microcrystalline cellulose) are strongly related to the nature of the bio-sourced raw materials and the hydrolysis conditions such as acid type, reaction time and temperature and acid concentration [30–32]. Recently, Tarchoun et al. reported the isolation of MCC by various acids namely hydrochloric acid, nitric acid, sulfuric acid, and their mixtures [32]. They found that the type of the hydrolysis acidic medium could affect the properties of the produced MCC, especially the morphology and the crystallinity index.

The novelty of this work is the conversion of the newly identified *Juncus* plant stems into purified micro-sized cellulose fibers and CNC with different characteristics and surface functionalities. The use of sulfuric acid and citric/hydrochloric mixture acid hydrolysis processes resulted in the isolation of sulfated CNC (S-CNC) and carboxylated CNC (C-CNC), respectively. The as-produced S-CNC and C-CNC were characterized in terms of their morphologies, dimensions, structure, crystallinity and thermal stability.

2. Materials and methods

2.1. Materials

The raw *Juncus* stems used in this work was collected from the province of Settat, Morocco. The as-received raw *Juncus* stems were cut into 2–4 cm small fibers, and then they were ground using a home coffee mill. Analytical grade chemicals used for the treatment of raw *Juncus* stems and the extraction of cellulosic materials were purchased from Sigma–Aldrich.

2.2. Isolation of purified cellulose microfibrils (CMF)

Raw ground *Juncus* stems were washed with distilled water for 1 h at 60 °C under mechanical stirring. The prewashed stems were treated two times with 4 wt% NaOH solution at 80 °C for 2 h under

stirring. The alkali-treated *Juncus* fibers were bleached using a solution made up of equal parts (v:v) of acetate buffer (27 g NaOH and 75 mL glacial acetic acid, diluted to 1 L of distilled water) and aqueous sodium chlorite (1.7 wt% NaClO₂ in water). This treatment was done three times for 2 h at 80 °C, resulting in cellulose microfibrils (CMF) (Fig. 1).

2.3. Extraction of S-CNC

S-CNC were extracted by subjecting the as-extracted CMF to sulfuric acid hydrolysis [4]. For that, CMF were added to a preheated sulfuric acid solution (64 wt%) (9 M) at 50 °C under mechanical stirring for 30 min. Then, the mixture was diluted with ice cubes to stop the reaction. The obtained mixture was washed by successive centrifugations at 12,000 rpm at 15 °C for 15 min at each step. The obtained mixture was dialyzed against distilled water until it reached a neutral pH. Afterward, the obtained CNC aqueous suspension was homogenized using a probe-type ultrasonic homogenizer for 5 min in an ice bath. Photograph of the obtained freeze-dried S-CNC is shown in Fig. 1.

2.4. Extraction of C-CNC

C-CNC were extracted by subjecting the as-extracted CMF to citric acid/hydrochloric acid mixture hydrolysis [25]. In this process, the CMF were added to a preheated acid solution made from 90% citric acid (3 M) and 10% hydrochloric acid (6 M) (v/v). The reaction was performed at 80 °C for 4 h under mechanical stirring. Subsequently, after cooling to room temperature, the resultant suspension was repeatedly washed several times using successive centrifugations at 12,000 rpm at 15 °C for 15 min at each step, then dialyzed against distilled water until it reached a neutral pH. The resulted C-CNC suspension was sonicated for 15 min using a probe-type ultrasonic homogenizer. Photograph of the obtained freeze-dried C-CNC is shown in Fig. 1.

2.5. Materials characterization

The fibers morphology was observed by field-emission scanning electron microscopy (SEM, HIROX SH 4000M). The samples were first coated by a thin conductive carbon layer to help improve SEM images. Atomic force microscopy (AFM) (Dimension ICON, Bruker) instrument was used to characterize the dimension and morphological of S-CNC and C-CNC. The CNC suspension (0.01 wt %) was sonicated by ultrasonic bath for 15 min and dispersed on to mica sheet for observation. The dimensions of CNC were directly analyzed by using Veeco Data Analysis Software (NanoScope® Version 8.0 software, Bruker). X-Ray diffraction (XRD) measurement was done by D2 PHASER diffractometer using a CuK ($\lambda = 1.54$, 40 kV, 40 mA) in the range of $2\theta = 5\text{--}50^\circ$ with a scanning rate of $2^\circ/\text{min}$. The *Crl* of all studied sample was measured by Segal equation [33]:

$$C_r I = \frac{I_{200} - I_{am}}{I_{200}} \times 100$$

where I_{200} is maximum peak intensity at 200-lattice plane (crystalline area), and I_{am} is the peak at $2\theta = 18^\circ$ (amorphous area) [33].

Fourier transform-infrared (FTIR) spectroscopy was carried out on a Perkin-Elmer Spectrum 2000 FTIR apparatus equipped with attenuated total reflection (ATR) accessory. The FTIR spectra were recorded in 4000–600 cm^{-1} range with a resolution of 4 cm^{-1} and an accumulation of 16 scans. The thermal stability of samples was evaluated by thermogravimetric analysis (TGA, Discovery TGA from TA instruments). The weight of samples tested was 5–10 mg. The samples were heated from room temperature to 700 °C under nitrogen atmosphere with heating rate of 10 °C/min.

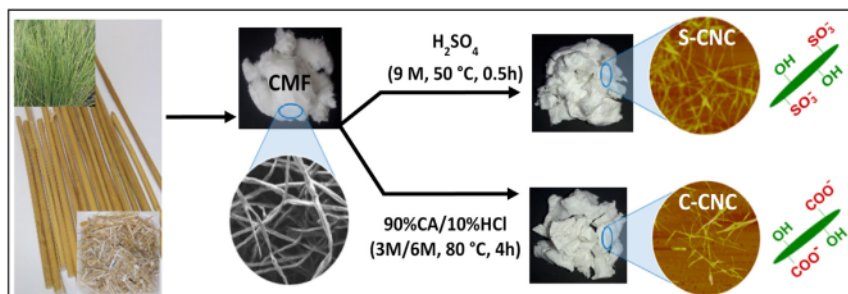


Fig. 1. Overall steps for extraction of CMF and CNC (S-CNC and C-CNC) from raw *Juncus* stems.

3. Results and discussion

3.1. Extraction processes and morphological analysis

Fig. 1 displays the overall steps of the used extraction process and the physical aspect of each material. Before producing CNC (S-CNC and C-CNC), raw *Juncus* stems were transformed into purified CMF via alkali and bleaching treatments with a yield of 36%. It is well known that the alkali and bleaching treatments are conventional processes usually applied to purify and remove the non-cellulosic compounds (lignin, hemicellulose, protein and other impurities) from raw finely-ground lignocellulosic materials, leading to the extraction of pure cellulosic fibers [4,18,34–36]. The physico-chemical characteristics of such extracted pure cellulosic fibers are dependent to the source of cellulose and to the experimental conditions used such as the concentration of the alkaline and bleaching solutions, reaction temperature and time [4,35,37,38]. Herein, it was found that the as-extracted CMF from *Juncus* stems exhibited an average microfibrils diameter of about 3.5 μm and the obtained microfibrils are clearly separated from each other, as observed by SEM analysis (Fig. 2b and c). These microfibrils were cemented and connected by lignin and hemicellulose in raw *Juncus* stems, giving a compact structure to the raw stems, as clearly shown in Fig. 2a. The obtaining of individual microfibrils is mainly due to the defibrillation of the *Juncus* stems

by chemical treatments, which lead to the removal of lignin and hemicellulose molecules bound firmly to CMF [1,18]. This finding suggests that *Juncus* plant is a suitable cellulose-rich source for CMF production with the micro-sized diameter and excellent structural and thermal properties (see below).

Once CMF are obtained, sulfuric acid hydrolysis and citric/hydrochloric mixture hydrolysis were separately applied to solubilize the amorphous parts of CMF, leaving the crystalline parts unaltered, which are identified as S-CNC and C-CNC (Fig. 3). The isolated S-CNC and C-CNC showed a gel-like suspension after ultrasonic homogenization process, as illustrated in Fig. 3, which is typical behavior of acid hydrolyzed CNC [18,25,26]. It has been reported that CNC produced with sulfuric acid or citric/hydrochloric mixture acid can be completely suspended at the individual nanocrystal level in aqueous solution by electrostatic repulsion, which are due to the insertion of negatively charged groups on the surface of CNC during acid hydrolysis [4,25,26,39,40]. These negatively charged groups consist of sulfate groups (SO₃⁻) in the case of using sulfuric acid hydrolysis and carboxylate groups (COO⁻) in the case of using citric/hydrochloric mixture acid hydrolysis. It has been reported that the use of sulfuric acid hydrolysis of cellulose induced a sulfate half-ester reaction between sulfuric acid and cellulose surface, which led to the occurrence of sulfate half-ester groups on the surface of CNC [25,28,41]. While the carboxylate groups can be formed by esterification reaction

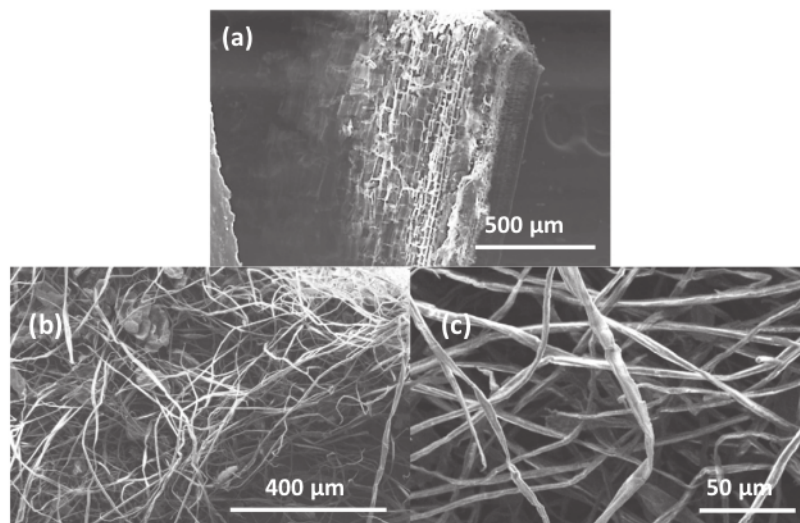


Fig. 2. SEM images of (a) raw *Juncus* stems (R-Junc) and (b and c) CMF.

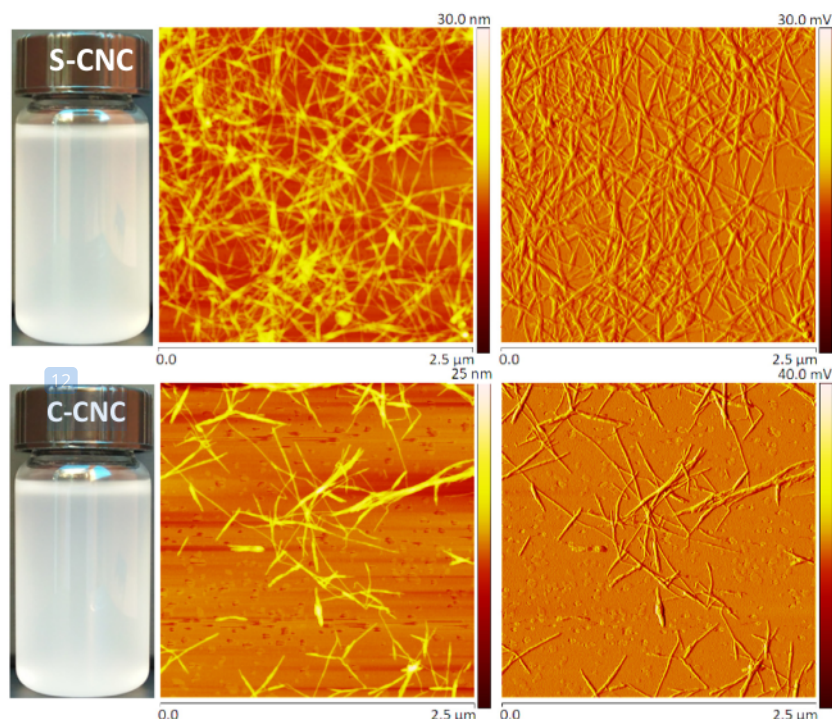


Fig. 3. Aqueous suspensions and AFM images of S-CNC (Top) and C-CNC (bottom).

between the hydroxyl groups of cellulose and the carboxyl groups of citric acid, leading into carboxylated CNC (C-CNC) [25].

Fig. 3 shows the AFM images of both extracted CNC (S-CNC and C-CNC), which confirmed the successful isolation of individual nanocrystals after acid hydrolysis process using sulfuric acid and citric/hydrochloric mixture acid hydrolysis process. The AFM images showed that the extracted CNC exhibited a needle-like shape, which is the common shape observed for CNC extracted by acid hydrolysis process [4,34,35]. The average diameter and length of S-CNC was measured at 7.3 ± 2.2 and 431 ± 94 nm (Table 1), respectively, giving rise to an aspect ratio of 59. Comparatively, the measured aspect ratio of S-CNC is higher than that determined for sulfuric acid hydrolysed CNC derived from other new identified sources such as vine shoots (32), pineapple crown waste (6.3) [7], pistachio shells (16) [8], rice and coffee husks (10–20) [9], barely straw (19) [10], acacia bark (11.5–18.6) [11], wheat bran (20–32) [12] and industrial waste cotton (40) [13]. However, it is found to be comparable to that of CNC extracted from other sources such as sugarcane bagasse (55) [14], Sunflower oil cake (65) [5], red algae waste (57) [4], alfa fibers (66) [18], spruce bark (63) [6].

As presented in Fig. 3, the carboxylation treatment showed a separated dispersion of C-CNC with an average diameter and length of 6.1 ± 2.8 and 352 ± 79 nm (Table 1), respectively, resulting in an aspect ratio of 57, which is comparable to that measured for S-CNC (59). It is worth noting that the extraction of C-CNC was carried out using the same process firstly described by Yu et al. [25], who extracted C-CNC from commercial microcrystalline cellulose (MCC) via a mixture of citric/hydrochloric acids with optimized experimental conditions. Herein, it was found that the measured aspect ratio of C-CNC from *Juncus* stems is very higher than that measured for C-CNC obtained from MCC (13.5) [25], suggesting that the purified cellulose from *Juncus* stems is very interesting source for the production of C-CNC with relatively high aspect ratio.

Remarkably, the smaller diameter and length of C-CNC compared to S-CNC may be due to the strong acidity level of citric/hydrochloric mixture acid that can severely break the amorphous domains of native cellulose, resulting in smaller dimensions. This finding suggests that the as-produced CMF from *Juncus* stems are suitable for the production of CNC with uniform shape, nanometric, high aspect ratio and functionalized surface.

Table 1
Structural and morphological properties of the extracted CNC (S-CNC and C-CNC).

Sample	XRD		AFM		TGA/DTG	
	D (nm)	L (nm)	Crl (%)	T _{onset} (°C)	T _{max1} (°C)	R at 600 °C
R-Junc	–	–	43	189	322	25
CMF	–	–	72	230	356	10
S-CNC	7.3 ± 2.2	431 ± 94	81	221	332	32
C-CNC	6.1 ± 2.8	352 ± 79	83	231	347	17

3.2. Infrared spectroscopy analysis

Fig. 4 illustrates the FTIR spectra of raw *Juncus* stems, CMF, S-CNC and C-CNC. All the samples show the characteristic peaks of cellulose molecules. The region between 3500 and 3000 cm^{-1} is mainly originated from OH groups, while the peaks at around 2900 cm^{-1} arise from the C–H symmetrical stretching (Fig. 4a) [42]. From Fig. 4b, the band at 1733 cm^{-1} observed only in raw *Juncus* stems sample is assigned to the C=O stretching vibration of the carbonyl and acetyl groups in the xylan component of hemicelluloses [10,32,43]. Furthermore, the bands at 1515 cm^{-1} and 1230 cm^{-1} in the spectrum of raw *Juncus* stems sample are attributed to the C=C stretching from aromatic hydrocarbons of lignin and the stretching vibration mode of the acyl oxygen CO-OR associated with the hemicelluloses, respectively [43–45]. Importantly, these peaks were not observed in the CMF and CNC (S-CNC and C-CNC) spectra, confirming the total removal of lignin and hemicellulose molecules after the applied chemical treatment [1,2,32,43].

Furthermore, it is possible to see that no substantial difference can be observed between the FTIR spectra of the extracted CNC and that of CMF, indicating that the chemical structure of the cellulose was not altered during acid hydrolysis process using the two selected acid media. This finding is in accordance with previously reported studies [32]. It is worth noting that sulfate and carboxylate groups were introduced on the surface of S-CNC [18] and C-CNC [25] during the sulfuric and citric/hydrochloric acid hydrolysis, respectively. The band relative to sulfate negatively charged groups is generally observed at 1202 cm^{-1} [18], which is overlapped with the main characteristics of cellulose molecules in the FTIR spectrum of S-CNC sample, while a small new band at 1725 cm^{-1} can be observed in the spectrum of C-CNC (Fig. 4b), which is associated to carboxylate groups (COO^-) inserted on the surface of C-CNC, which were formed by esterification reaction between the hydroxyl groups of cellulose and the carboxyl groups of citric acid, as proposed by Yu et al. [25]. Consequently, the FTIR results confirmed the successful extraction of pure CMF and surface functionalized CNC from the newly identified *Juncus* plant stems.

3.3. Crystalline structure

Fig. 5 showed XRD characteristics of raw *Juncus* stems, CMF, S-CNC and C-CNC. All samples showed four major reflection peaks

appeared at $2\theta = 14.9^\circ$, 16.1° , 22.2° and 34.4° , corresponding to 1 1 0, 1 1 0, 2 0 0, and 0 0 4 typical reflection planes of cellulose I structure [18]. This result confirmed that the raw *Juncus* plant stems could be considered as lignocellulosic material. It is also confirmed that the polymorphism of cellulose I structure, originally presents in the raw *Juncus* stems, was not altered after the successive chemical treatments applied at different stages, including alkali and bleaching treatments as well as acid hydrolysis processes.

The crystallinity index (*CrI*) values measured for all studied samples are listed in Table 1. The *CrI* was found to be about 43%, 72%, 81%, and 83% for raw *Juncus* stems, CMF, S-CNC and C-CNC samples, respectively. The increasing of *CrI* from raw *Juncus* stems to CMF is associated with the total removal of amorphous non-cellulosic compounds such as lignin, hemicellulose and others. The increased *CrI* observed for S-CNC and C-CNC, compared to CMF, was expected since the role of the applied acid hydrolysis is to solubilize the amorphous regions of cellulose, leaving the crystalline domains unaltered, as observed by AFM images (Fig. 3). The obtained *CrI* for S-CNC and C-CNC is comparable to that reported for CNC extracted from other sources using acid hydrolysis process [4,6,18,25,26,34,45]. This finding suggests that the raw *Juncus* stems are very interesting naturally-derived material for obtaining CNC with high crystallinity, which is very important property for the application of CNC, especially as nano-reinforcing agents for polymer nanocomposites development.

3.4. Thermal degradation behavior

TGA and DTG of raw *Juncus* stems and the extracted CMF, S-CNC and C-CNC are displayed in Fig. 6a and b, respectively, and the thermal parameters such as the onset temperature (T_{onset}) and the maximum degradation temperature (T_{max}) are listed in Table 1. The raw *Juncus* stems showed the lower thermal stability regarding its extracted parts (CMF and CNC). The T_{onset} and T_{max} of raw *Juncus* plant were measured at 189 and 322 $^\circ\text{C}$, respectively, which are 41 and 34 $^\circ\text{C}$ lower than those observed for CMF (230 and 356 $^\circ\text{C}$). This finding is due to the removal of non-cellulosic compounds (lignin and hemicellulose) that are characterized by low thermal stability [10,32,33,45–47].

The extracted CNC (S-CNC and C-CNC) showed very good thermal stability, especially the sulfuric acid hydrolyzed CNC (S-CNC),

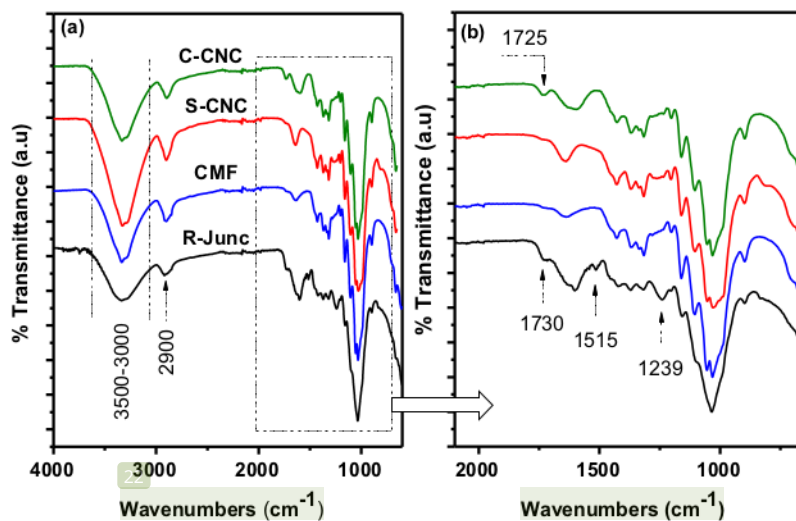


Fig. 4. FTIR spectra of raw *Juncus* stems (R-Junc), CMF and CNC (S-CNC and C-CNC).

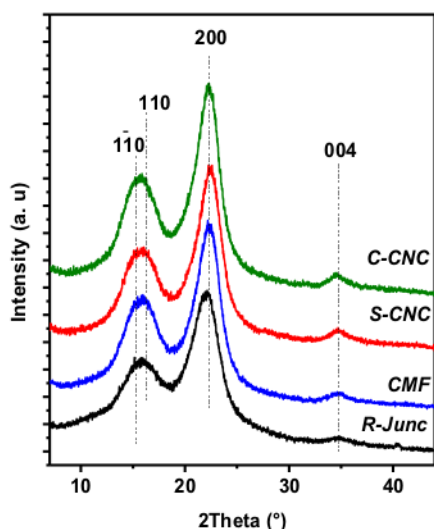


Fig. 5. XRD patterns of raw *Juncus* stems (R-Junc), CMF and CNC (S-CNC and C-CNC).

that are generally suffer from its limited thermal stability. Herein, the T_{onset} determined for S-CNC was found to be 221 °C (with a T_{max} of 332 °C), which is higher than that earlier reported in the literature for S-CNC extracted from other sources [4,5,14,18,35,36,48,49]. This finding suggests that the native cellulose (CMF) extracted from *Juncus* stems source is suitable for extracting thermally stable CNC by sulfuric acid, which represents the effective acid generally used for this purpose. On the other hand, the thermal stability of C-CNC (T_{onset} of 231 °C) is comparable to that observed for CMF (T_{onset} of 230 °C) and slightly higher than that measured for S-CNC (T_{onset} of 221 °C), suggesting that the thermal stability of acid-hydrolysed CNC are influenced by the experimental extraction conditions, as previously reported in the literature [4,26]. In contrast, the obtained thermal stability of C-CNC is lower than that reported by Yu *et al.* for C-CNC extracted from microcrystalline cellulose using the same extraction process [25], who found a T_{onset} and T_{max} of 283 °C and 353 °C, respectively, which are 52 °C and 6 °C higher than those measured in this work.

This finding suggests that the level of thermal stability CNC is strongly related to the source of cellulose [10].

From the TGA curves and the values listed in Table 1, it is possible to see that the studied samples showed a different behaviour concerning the char residue at 600 °C. Indeed, the thermal decomposition of CMF sample resulted in reduced char residue (10%) as compared to raw *Juncus* stems (25%), which is probably due to the absence of the charred residue that can be generated from the degradation of lignin and hemicelluloses [34,45]. However, these molecules have been totally removed by the bleaching treatment, which confirms the low content of char residue for CMF sample. Moreover, S-CNC showed a relatively high char residue (32%) as compared to the CMF sample (10%), due to the insertion of sulfate groups that act as flame-retardants [45]. The higher char residue produced by S-CNC as compared to C-CNC (17%) is probably due to the higher surface functionalization and the lower temperature required for degradation of S-CNC.

4. Conclusions

Cellulose microfibrils (CMF) and cellulose nanocrystals (CNC) have been successfully produced from *Juncus* plant stems. This later was identified for the first time as naturally-derived lignocellulosic material for the extraction of micro- and nano-cellulose fibers. Conventional purification processes such as alkaline and bleaching treatments were applied to raw *Juncus* stems, resulting in the production of pure CMF with an average microfibrils diameter of 3.5 μm and a crystallinity of 72%. By subjecting CMF to sulfuric acid and citric/hydrochloric mixture acid hydrolysis, sulfated CNC (S-CNC) and carboxylated CNC (C-CNC) were separately obtained with different characteristics. Morphologically, the as-produced S-CNC and C-CNC exhibited a needle-like shape with an average diameter of 7.3 ± 2.2 and 6.1 ± 2.8 nm, and an average length of 431 ± 94 and 352 ± 79 nm, giving rise to an aspect ratio of 59 and 57, respectively. The crystallinity of S-CNC and C-CNC was measured as 81% and 83%, respectively. The thermal stability of the as-extracted S-CNC and C-CNC was found to be higher than that earlier reported for CNC from other sources extracted by sulfuric acid hydrolysis process, which is the largely employed technique, but the resulted CNC usually suffer from limited thermal stability. Through this study, we have demonstrated a possible strategy to give an added value to raw *Juncus* plant, which is rich in cellulose, inexpensive and renewable source. The extraction of

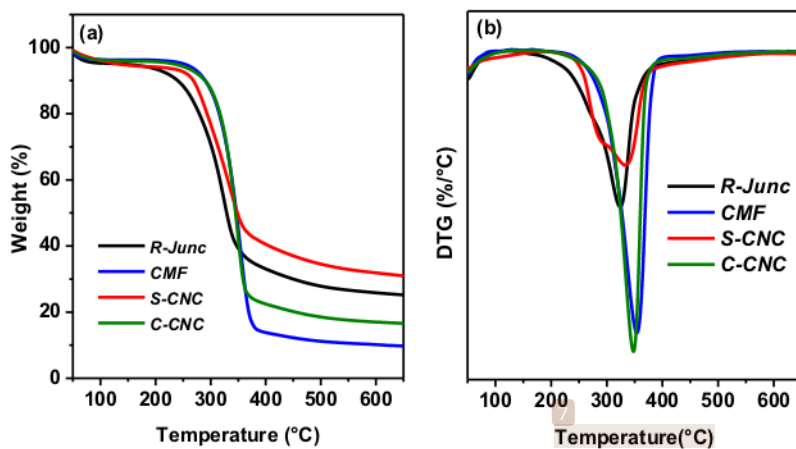


Fig. 6. (a) TGA and (b) DTG curves of raw *Juncus* stems (R-Junc), CMF and CNC (S-CNC and C-CNC).

CNC with excellent properties from this newly identified *Juncus* plant could be used as potential nano-reinforcing agents for polymer nanocomposites manufacturing using melt processing techniques for a temperature below to 200 °C and for other functional applications.

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